# Two Extensions of Active Access-Point Joint Optimization Algorithm for Wireless Local-Area Network

Mousumi Saha, Nobuo Funabiki, Bin Wu, and Pradini Puspitaningayu

Abstract-Nowadays, the IEEE 802.11 wireless local-area network (WLAN) is commonly employed as a common Internet communication access medium. In WLAN, multiple accesspoints (APs) are usually allocated in a wide network field, which may induce interferences and degrade the performance. On the other hand, a finite number of partially overlapping channels (POCs) are obtainable on the commonly used 2.4GHz band. Therefore, to improve the network performance, it is essential to refine AP allocations with POC assignment and AP-host associations in the network to reduce interference. Previously, we introduced the active AP configuration algorithm to optimize the number of active APs and host associations, and the AP joint optimization algorithm to optimize the transmission power, frequency channel, and CB or non-CB POC to each AP. In this study, we present two extensions to the AP joint optimization algorithm to further improve the performance of WLAN. In the first extension, we consider the pre-processing stage, which identifies the promising APs locations to reduce the search space. In the second extension, we propose the post-processing stage, which further refines the associations of AP-host to enhance the network performance. The proposal's effectiveness is verified through simulations using the WIMNET simulator in three network scenarios. The simulation results exhibited that the proposal enhanced the throughput performance by minimizing the interference level compared to the existing algorithms.

*Index Terms*—IEEE 802.11n, access point, host association, AP allocation, channel bonding, concurrent communication, network configuration, pre-processing, post-processing.

#### I. INTRODUCTION

W IRELESS *Local Area Network (WLAN)* has become popular due to easy installation, low costs, and flexibility. *WLANs* have been deployed worldwide to supply internet access in different locations, such as companies, educational institutes, airports, shopping malls, hotels, stations, and *IoT* sector [1][2]. Meanwhile, *WLANs* as a general communication technology, play an important role in various IoT scenarios, such as smart homes, automated driving, power grid systems, smart cities, and healthcare [3][4][5][6][7]. Figure 1 shows simple topologies of *WLAN*.

Currently, *IEEE 802.11 WLAN* operates in two unlicensed frequency bands: 2.4GHz and 5GHz. The 2.4GHz band

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is typically used in indoor environments because of its vast coverage range and greater penetration capability [8].

In the early WLAN standards (*IEEE a/b/g*), the channel bandwidth was fixed at 20 MHz. In order to obtain higher speeds, *IEEE 802.11n* and the later protocols combine adjacent channels to obtain a higher bandwidth (40 MHz or more). This technique is known as channel bonding (CB), which enhances data transmission by increasing the subcarrier numbers in Orthogonal Frequency Division Multiplexing (OFDM). For instance, a 20 MHz non-CB channel uses 52 sub-carriers, while a 40 MHz CB channel expands this to 114 sub-carriers [9]. OFDM utilizes multiple narrowband carriers to achieve greater linkspeed in wide-band CB configurations. However, the use of CB can exacerbate partially overlapping channels (POCs) due to restricted channel constraints, as shown in Figures 2 (a) and (b).

In WLAN, the user distribution is commonly non-uniform [10], and the coverage range of one AP is limited due to the *license-free band*. Therefore, to ensure the seamless internet connections, allocating multiple APs in a wide area is common. Under the limited available channels, such crowded APs often interfere with one another and will degrade network performance due to the overlap of the same frequency signals between them [11].

Meanwhile, higher transmission power, while useful in increasing speed, also boosts interference with other devices in the environment. Therefore, it is necessary to enhance the performance of the *WLAN* under interference by rationally allocating the transmission power, frequency channel, channel bonding, and host association for each *access point*.

To address the above-mentioned issues, we have investigated the elastic *WLAN* system [12][13][14][15]. At the same time, the algorithm based on *local search method* in [12][13], has been introduced to the minimum the number of activated *APs*, host associations, and optimized orthogonal channels. However, this algorithm does not consider the locations of *APs*, it can lead to interference and degrade network performance. Therefore, in [14], we have explored the *preprocessing stage* of the active AP configuration algorithm for finding the promising *AP* locations from candidates to enhance the solution quality by reducing the search space of the algorithm.

However, faced with actual scenarios, we found that the algorithm in [14] lacks consideration of concurrent communication. Also, it may not optimize the optimal solution of power. Therefore, to enhance the network performance of *WLAN* under concurrent communication, we have studied the *throughput drop estimation model* in appendix A under the coexistences of CB and non-CB channels for *concurrently* 



Fig. 2: Non-bonding and bonding channels at 2.4GHz.

*communicating links*, and proposed the *AP joint optimization algorithm* that jointly optimizes the transmission power, the frequency channel, and the channel bonding (CB) or non-CB to each AP in *IEEE 802.11n WLAN* using the *throughput drop estimation model* [15]. However, since multiple hosts frequently connect to a single AP in real networks, this algorithm only considers a single host association with each AP, which is unrealistic. Furthermore, the active AP configuration algorithm [12] and the *AP* joint optimization algorithm [15], are the *NP-complete* problem that needs extensive computations to get the optimal solution.

Therefore, it is necessary to improve the AP joint optimization algorithm to address all of the issues and improve WLAN performance [15]. However, optimizing the nearoptimal solution into a single algorithm that addresses all issues is challenging due to the larger search space and huge computations. To address this issue, we sequentially run the active AP configuration algorithm [12] and the AP joint optimization algorithm [15]. We observed that some hosts suffered from extremely low throughput due to improper allocation of APs.

To address all these issues, in this study, we present two extensions to the *AP* joint optimization algorithm to improve the performance of *WLAN* networks. In the first extension, we adopt the *pre-processing stage* of the *active AP configuration* in the *AP joint optimization algorithm* to select the promising candidate *APs*. This stage not only improves the network performance but also reduces the search space of the network field.

In the second extension, we propose the *post-processing stage* of the algorithm, which refines *AP-host* associations to further enhance the overall network performance.

The effectiveness is assessed through simulations in different network topologies using the *WIMNET simulator* [16]. The results showed that the proposal improved the throughput performance by reducing the interference level compared to the existing methods.

The remaining part of this paper is structured as follows: Section II describes related works. Section III and Section IV review the AP joint optimization algorithm and the preprocessing stage. Section V presents the two extensions of the AP joint optimization algorithm. Section VI evaluates the proposal through simulations. Finally, Section VII concludes this paper with some future directions.

#### II. REVIEW OF LITERATURE

In this section, we introduce related works in literature. Several studies have addressed various approaches to improve the throughput quality of *WLANs*.

In [17], Lee et al. introduced a heuristic AP assignment approach for wireless communication in industrial environments. They aimed to decrease the APs number while providing every mobile user with at least two concurrent links with different APs. Their scheme relied on direct measurement of signal strength and used passive repeaters to improve communication reliability. The authors demonstrated the effectiveness and feasibility of their approach through experiments and simulations. Denoting, to this study, we can highlight the significance of concurrent communication and AP location optimization for improving WLAN performance.

In [18], Khattak et al. discussed the challenges of using WLAN fingerprinting for indoor localization in smart and sustainable cities due to limited non-overlapping channels in WLAN networks that cause interference. To address this, they proposed a channel assignment strategy based on the hearability and mutual distance of the APs. They present a simulation model, which demonstrates that the proposed strategy reduces interference among neighboring APs. Denoting, to this study, we can highlight the significance of considering channel interference, channel assignment, and AP location optimization for improving WLAN performance.

In [19], Garroppo et al. presented energy conservation in enterprise WLANs during off-peak hours without compromising coverage and quality of service. The method involves three strategies: AP Management, Device Association, and Power Adjustment. The approach includes creating a comprehensive WLAN model and solving a mathematical problem using a developed algorithm. The aim is to save energy while maintaining WLAN performance. By denoting to this study, we can highlight the significance of improving WLAN performance for high-quality and reliable Internet access.

In [20], Ali et al. proposed a model to detect the best locations of outdoor APs in a large university campus with limited resources. They collected data on the current locations of 17 outdoor access points, 25 demand points, and 14 new potential locations. The Set-Covering model suggests that 21 access points are required to cover all the demand points, while the *maximal-coverage location* problem (MCLP) model shows that 17 access points can cover 92.34% of the demand. By denoting to this study, we can highlight the significance of optimizing the location of WLAN access points for reliable Internet access.

In [21], Liu et al. proposed a method to optimize APs in WLANs and used *Fruit Fly Optimization Algorithm (FOA)* to jointly optimize the location and power of each AP, reducing power consumption and improving coverage rate. Redundant APs were removed below a threshold. However, interference with concurrent communication was not considered.

In [22], Roy et al. proposed an algorithm for dual interfaces with channel bonding that optimizes network performance and reduces the active APs number in dense WLAN environments. The algorithm uses a throughput estimation model, a greedy algorithm, a local search method, and a simulated annealing technique. The proposal was evaluated through simulations and experiments utilizing Raspberry Pi APs and Linux PCs with positive results. However, AP location optimization and power were not considered.

In [23], Tewari et al. presented a joint *power* and *POC* optimization method to enhance the performance of the crowded WLAN network. Efficient use of POC can increase the frequency reuse by reducing the interference range. However, the extreme use of POCs can lead to adjacent channel interferences. To improve the quality of service they proposed an effective power control method, where the effectiveness is confirmed by simulations and does not consider the AP locations.

In [24], Kachroo et al. introduced an algorithm designed to manage both channel and transmission power within a multi-rate WLAN framework operating on standard 20MHz*non-CB POC channels*. The process begins with fixed transmission power, during which channels are allocated to APs. Subsequently, the algorithm adjusts transmission power incrementally, either increasing or decreasing it, to optimize the *signal-to-interference-plus-noise ratio* (*SINR*). It should be highlighted that their approach does not address the issue of associating hosts with APs. In contrast, our method incorporates host-to-AP associations into the optimization, also taking into consideration the spatial distribution of APs.

In [11], Mittal et al. presented a game theoretic method to balance the APs load. Hosts connected to heavily congested APs are transferred to lower crowded APs to improve linkspeed. However, concurrent communication interference was not considered.

In [25], Shindo et al. introduced an approach for aggregating virtual access points (VAPs) by leveraging two key metrics: the received signal strength indicator (RSSI) and the bandwidth (BW) consumption. This strategy aims to enhance the throughput for mobile nodes, which can often be impacted negatively by the aggregation of VAPs, while simultaneously reducing the number of APs that remain active. However, their method does not take into account the joint optimization required to handle concurrent communication effectively.

In [26], Yang et al. proposed a selection method to optimize the measurement points for access point (AP) localization to reduce manual efforts and improve accuracy. In their proposal, the next measurement point is determined based on real-time data and is located at the intersection of the coverage areas of APs, whose locations are roughly estimated from previous measurements.

In [27], Kobayashi et al. addressed the issue of optimizing Wi-Fi APs by considering the type and volume of traffic generated by users, rather than assuming a fixed AP position. The authors proposed *CHASA*, a system that dynamically updates the optimal position of a movable AP based on the type of traffic. This system used a decision tree for traffic classifications and a hill climbing method to find the optimal AP position.

In [28], Alakhras et al. proposed a two-step algorithm for resource allocations in wireless networks, focusing on subcarrier allocations based on channel quality and multicast service priority, followed by power reallocation to enhance system capacity while ensuring QoS. The interval-based algorithm allocates subcarriers and power to multicast groups, aiming to maximize throughput and minimize complexity.

In [29], Mestre et al. proposed an algorithm that chooses the best AP considering the network delay rather than RSSI. The proposal enhanced the overall network performance by choosing APs with the lower delay.

In [30], Deng et al. optimized the layout of WLAN APs to improve location reliability and accuracy by adding minimally redundant APs. They proposed a method to ensure that even if one AP fails, the remaining APs can still cover all the areas with minimal location error.

# III. REVIEW OF AP JOINT OPTIMIZATION ALGORITHM

This section review the *AP* joint optimization algorithm for *WLAN*.

#### A. Elastic WLAN System

In order to realize the optimal configuration of the network, we designed the *elastic WLAN system* to control all the devices in the network through a server to manage the administrative access rights, and the APs use softwarebased adjustable configuration devices to adopt the optimal configuration through the server's recommendations. With the *elastic WLAN system*, it is possible to dynamically manage the connections between activated APs and hosts depend on the output of the *active AP configuration*.

# B. Formulation of Active AP Configuration

The active AP configuration algorithm is formulated as follows in Figure 3:

## C. Active AP Configuration Algorithm Description

Figure 4 and 5 illustrates the flow chart and steps for the algorithm of active AP configuration respectively [12][13].

# D. Formulation of AP Joint Optimization Algorithm

The AP joint optimization considering the *throughput drop model* in appendix A is formulated as follows in Figure 6 [15].

# E. Procedure of Joint Optimization Algorithm

The joint optimization procedure is described in Figure 7. The maximum transmission power for *CB* and *non-CB* channels is -20dB and -28.2dB, respectively, and the minimum for both is -33.2dB.

# IV. REVIEW OF PRE-PROCESSING STAGE

In this section, we review the *pre-processing stage* of the *active AP configuration algorithm* [14]. For this stage, the exhaustive search approach and the heuristic search approach were proposed.

Figure 8 demonstrates the flow chart for the pre-processing stage. Figure 8 (a) illustrates the exhaustive approach and Figures 8 (b) illustrates the heuristic approach of the pre-processing stage.

## A. Formulation of Pre-processing Stage

The pre-processing stage is formulated as follows:

- 1) Inputs:
  - Locations of M candidate APs
  - Locations of *H* hosts
  - Locations of walls
  - Number of selected APs,  $N (N \le M)$
- 2) **Outputs:** 
  - N promising locations of candidate APs
- 3) **Objectives:** 
  - Maximize the summation of the bottleneck host throughputs for N APs: E
  - Maintaining the initial objective, to maximize  $E_2$

# B. Exhaustive Search

The exhaustive search approach illustrates in Figure 9:

# C. Heuristic Search

The heuristic search approach illustrates in Figure 10:

# V. PROPOSAL OF TWO EXTENSIONS OF AP JOINT Optimization Algorithm

In this section, we present the two extensions of the AP joint optimization algorithm. Figure 11 shows the overall execution flow for the two extensions of the AP joint optimization algorithm. Figure 11 (a) illustrates the first extension of the AP joint optimization algorithm and Figures 11 (b) illustrates the second extension of the AP joint optimization algorithm.

#### A. Formulation of Extended Algorithm

This extended algorithm is formulated as follows:

- 1) Inputs:
  - Locations of M candidate APs
  - Locations of H hosts
  - Locations of walls

# 2) Outputs:

- Active APs set
- · Set of hosts associated with each active AP
- CB or non-CB POC for each AP
- Maximum or minimum transmission power for each AP
- 3) Objectives:
  - To maximize  $E_T$ .  $E_T$  is the total throughput of the WLAN network, which is calculated by the sum of the throughputs of all the active APs and their associated hosts

# 1. Inputs:

- Number of hosts: H
- Number of APs:  $N = N^D + N^V + N^M$  where  $N^D$ ,  $N^V$ , and  $N^M$  represent the number of DAPs, VAPs, and MAPs respectively.
- Link speed between  $AP_i$  and  $host_j$  for i = 1 to N, j = 1 to H:  $tp_{ij}$ , where the link speed can be estimated by the model in [31].
- Minimum link speed for association: S

#### 2. Outputs:

- Set of active APs
- Set of hosts associated with each active AP

#### 3. Objectives:

- To minimize E<sub>1</sub>
- Holding the first objective, to maximize E<sub>2</sub>

 $E_1$  represents the number of active APs (DAPs, VAPs, and MAPs) in the network:

$$E_1 = E_1^D + E_1^V + E_1^M \tag{1}$$

where  $E_1^D$  represents the number of active DAPs,  $E_1^V$  does the number of active VAPs, and  $E_1^M$  does the number of active MAPs respectively.

 $E_2$  indicates the minimum average host throughput for the bottleneck AP:

$$E_2 = \min_j \left[ TP_j \right] \tag{2}$$

where  $TP_j$  represents the average host throughput for  $AP_j$  that is given by:

$$TP_j = \frac{1}{\sum\limits_k \frac{1}{tp_{jk}}} \tag{3}$$

where  $tp_{jk}$  represents the link speed between  $node_j$  and  $node_k$   $(link_{jk})$ .

#### 4. Constraints:

- Minimum host throughput constraint: each host in the network must enjoy the given threshold G on average when all the hosts are communicating simultaneously.
- Bandwidth limit constraint: the bandwidth of the wired network to the Internet must be less than or
  equal to the total available bandwidth of the network B<sup>a</sup>.

Fig. 3: Active AP configuration formulation.

• Maintaining the first objective, to maximize  $E_2$  $E_2$  describes the *minimum average host throughput* for the bottleneck AP:

$$E_2 = \min_j \left[ TP_j \right] \tag{1}$$

where  $TP_j$  describes the average host throughput for  $AP_j$  that is given by:

$$TP_j = \frac{1}{\sum_k \frac{1}{tp_{jk}}}$$
(2)

where  $tp_{jk}$  describes the link speed between  $node_j$ and  $node_k$  ( $link_{jk}$ ).

## 4) Constraints:

a) Minimum host throughput constraint: all of the simultaneous communicating hosts in the network must have the given threshold *G*.

- b) Bandwidth limit constraint: the bandwidth of the wired network to the Internet must be lower than or equal to the total available bandwidth of the network  $B^a$ .
- c) Transmission power constraint: the transmission power of each AP must be within a predefined maximum or minimum range.
- d) Frequency channel constraint: the frequency channel of each AP must be one of the available channels at 2.4GHz band.
- e) Channel bonding constraint: the channel bonding of each AP must be either 20MHz or 40MHz.

# B. First Extension: Pre-processing Stage

The procedure of the network configuration considering the pre-processing stage of the active AP configuration in the AP joint optimization algorithm is given as follows:



Fig. 4: Flowchart of AP configuration algorithm.

- 1) We select the promising candidate APs at the preprocessing stage. Here, we only consider the exhaustive search approach in Section IV-B.
- 2) We optimize the AP and host associations by the algorithm in Section III-C and optimize the channel, channel type and power of each AP by the algorithm in Section III-E.

#### C. Second Extension: Post-processing Stage

In the second extension, we present the post-processing stage of the AP joint optimization algorithm.

1) Post-processing Stage of AP Joint Optimization Algorithm: The active AP configuration algorithm include the following eight steps in Section III-C. In this paper, we present a new Step 9, namely, Post Host Association Optimization, as the post-processing stage. The procedure of the post host association optimization is given as follows:

- 1) Choose one host from the current active host list.
- Randomly select another host whose associated AP is different from the first one.
  - a) Calculate the cost function (G) for the current association.
  - b) Exchange the associations of the two hosts if the cost function is improved. Otherwise, go back to the previous association.
- 3) Repeat Step 2 until all hosts are checked.
- 4) Terminate the algorithm if all active hosts are checked otherwise go back to Step 1.

#### VI. EVALUATION BY SIMULATIONS

In this section, we evaluate the proposed two extensions of the AP joint optimization algorithm through simulations using the WIMNET simulator [16].

#### A. Simulation Platform

Figure 12 shows the adopted hardware and software specifications of the PC to evaluate the proposal in the simulations.

#### B. Random Topology

Firstly, the proposal is assessed by adopting a simple network field where *APs* and hosts are randomly allocated in one room.

1) Random Topology: Figure 13 (a) demonstrates this random topology. 15 hosts and 15 APs are allocated in one  $50m \times 50m$  room. The circle describes the AP and the square does the host. Figures 13 (b) suggests the output of the pre-processing stage.

2) Results of First Extension: Figure 14 and Table I demonstrate the first extension results and the simulation results respectively. Figure 14 shows the number of active APs, CB or non-CB POC for each AP, and the maximum or minimum transmission power for each AP for different value of G. Table I shows the minimum host throughput, the average minimum host throughput, the average maximum host throughput, and the total throughput respectively. From the simulation results, by the pre-processing stage in the first extension, the throughput performance is improved compared to the original method. However, due to the 1 or 2 host associations, in a few cases, some results for G = 10 and for G = 20 gives lower throughput than the original method.

- Preprocessing: The link speed for any possible pair of an AP and a host is estimated using the throughput estimation model [31].
- 2. Initial Solution Generation: An initial solution is derived using a greedy method [32] of selecting the active APs and host associations that can cover the largest number of hosts. Then, the number of active APs is represented by  $E_1$ .
- 3. Host Association Improvement: The average host throughput  $E_2$  is calculated for the initial solution by:

$$E_2 = \min[TP_j] \tag{4}$$

where  $TP_j$  represents the average host throughput for the *j*th AP and is defined by:

$$TP_j = \frac{1}{\sum_k \frac{1}{tp_{jk}}} \tag{5}$$

where  $tp_{jk}$  represents the link speed between the *j*th AP and the *k*th host. Then, this solution is improved by finding better host associations.

- 4. AP Selection Optimization:  $E_1$  and  $E_2$  are jointly optimized by applying a local search method [33] of randomly changing active APs and finding host associations to minimize them.
- 5. Link Speed Normalization: The fairness criterion is applied here in adjusting the link speed, when the total expected bandwidth  $B^e$  exceeds the given total bandwidth  $B^a$ :
  - (a) Calculate  $B^e$  by taking the summation of the throughputs of all the APs.
  - (b) If  $B^e > B^a$ , adjust each AP-host link speed as:

$$\hat{t}p_{ij} = tp_{ij} \times \frac{B^a}{B^e} \tag{6}$$

where  $t\hat{p}_{ij}$  is the adjusted link speed, which will be used in the following steps.

- Termination Check: When the minimum host throughput constraint is satisfied or all the APs in the network have been activated, go to the next step. Otherwise, go to step 3.
- Additional VAP Activation: If VAPs are not selected for candidate APs, they are newly selected as candidate APs. The locations of hosts are considered as the locations of the candidate VAPs. Then return to step 4.
- 8. Additional MAP Activation: If MAPs are not selected for candidate APs, they are newly selected as candidate APs. The locations of hosts are considered as the locations of the candidate MAPs. Then return to step 4.

Fig. 5: Steps of AP configuration algorithm.

TABLE I: First extension simulation results for random topology.

G	Method	Min. h.	Ave. Min. h	Ave. h.	Ave. Max. h.	Total
mbps		throu.	throu.	throu.	throu.	throu.
10	Original	2.27	2.59	3.39	4.09	50.87
	Only pre.	2.27	2.55	3.33	4.09	49.86
20	Original	3.86	4.32	6.49	8.23	97.44
	Only pre.	2.96	4.71	6.71	7.88	100.68
30	Original	3.25	6.75	8.61	10.57	129.14
	Only pre.	5.81	9.73	11.06	13.29	165.84
35	Original	4.42	10.06	11.53	13.16	173.01
	Only pre.	7.26	10.32	12.38	13.88	185.73

TABLE II: Second extension simulation results for random topology.

G	Method	Min. h.	Ave. Min. h	Ave. h.	Ave. Max. h.	Total
mbps		throu.	throu.	throu.	throu.	throu.
10	Original	2.27	2.59	3.39	4.09	50.87
	With pre. & post.	2.30	2.7	3.93	4.09	50.87
20	Original	3.86	4.32	6.49	8.23	97.44
	With pre. & post.	3.01	5.47	7.14	7.87	107.04
30	Original	3.25	6.75	8.61	10.57	129.14
	With pre. & post.	5.81	9.73	12.01	13.29	165.84
35	Original	4.42	10.06	11.53	13.16	173.01
	With pre. & post.	7.26	10.32	12.38	13.91	185.73

3) Results of Second Extension: Figure 15 and Table II show the second extension results and the simulation results respectively. By considering both the *pre-processing* and *post-processing stages* in the second extension, the throughput performance is improved for all cases compared to the original method.

As shown in Table I, the first extension results show that in some cases, such as G = 20, there is the lower throughput compared to the original method. However, after the second extension, improvements can be seen in Table II.

To assess the effectiveness of the second extension, we compare the pre-processing and post-processing results for 1. Input and Output: In the algorithm input, the number of channels  $C_{CB}$  for  $CB \ POCs$  and  $C_{non}$  for non-CB POCs, and the center frequency of each channel are given.

In the algorithm output, the CB or non-CB POC, and the maximum or minimum transmission power is assigned to each active AP, instead of non-CB, orthogonal channels (OC), and the maximum transmission power.

2. Objective: In the algorithm objective, the cost function  $E_{ch}$  in Eq. (1) maximize the total throughput of the links in WLAN.

$$E_{ch} = \sum_{i=1}^{N} T P_i^{POC} \tag{1}$$

where  $TP_i^{POC}$  represents the total throughput of the links associated with  $AP_i$  and N is total number of APs.  $TP_i^{POC}$  is calculated by:

$$TP_i^{poc} = \sum_j^m \left( t p_{ij}^{poc} \times Srf(m) \right)$$
<sup>(2)</sup>

where *m* represents the number of hosts associated with  $AP_i$ ,  $tp_{ij}^{POC}$  does the estimated throughput of the link between  $AP_i$  and  $host_j$  by the proposed model, and Srf(m) does the contention factor at  $AP_i$  for associated hosts to send data through CSMA/CA. Srf(m) is calculated by:

$$Srf(m) = \left(\frac{1}{m + \frac{0.1(m-1)}{4}}\right) \times 1 - (0.1 \times m - 1).$$
(3)

The constants in Eq. (3) are obtained from measurements by increasing the number of associated hosts to a single AP one by one under no interference.

## Fig. 6: Formulation of AP joint optimization algorithm.

G = 20. Figure 16 illustrates the random network topology with the AP host associations for G = 20. In Figure 16 (a), only the pre-processing is considered, showing that a few hosts suffer from poor associations, leading to the lower throughput and the network performance degradation, as represented by the red marks. In Figure 16 (b), the second extension is illustrated, showing improved associations by refining *AP-host* associations, leading to the overall network performance improvement.

#### C. Regular Topology 1

Secondly, we assess our proposal adopting a three room regular network field.

1) Regular Topology 1: Figure 17 (a) illustrates the regular topology with three rooms, where 20 hosts and 20 APs are regularly allocated in two  $20m \times 30m$  rooms, one  $10m \times 30m$  room. Figures 17 (b) suggests the output of the preprocessing stage.

2) Results of First Extension: Figure 18 and Table III show the first extension results and the simulation results respectively. Figure 18 shows the number of active APs, CB or non-CB POC for each AP, and the maximum or minimum transmission power for each AP for different value of G. Table III shows the minimum host throughput, the average minimum host throughput, the average host throughput, the average maximum host throughput, and the total throughput respectively. By considering the pre-processing stage in the first extension, the throughput performance is improved compared to the original method.

TABLE III: First extension simulation results for regular topology 1.

G	Method	Min. h.	Ave. Min. h	Ave. h.	Ave. Max. h.	Total
mbps		throu.	throu.	throu.	throu.	throu.
5	Original	0.58	0.72	0.96	1.11	19.25
	Only pre.	0.69	0.82	1.07	1.25	21.53
10	Original	3.6	5.49	7.43	9.22	148.71
	Only pre.	4.98	5.98	7.58	9.19	151.70
15	Original	1.29	7.72	10.26	12.26	205.36
	Only pre.	6.22	10.45	12.26	14.27	245.21
20	Original	7.03	11.49	12.76	14.09	255.20
	Only pre.	5.09	15.07	15.33	17.97	306.73

3) Results of Second Extension: Figure 19 and Table IV show the second extension results and the simulation results respectively. By considering both the *pre-processing* and *post-processing stages* in the second extension, the throughput performance is improved for all cases compared to the original method.

After implementing the second extension, significant throughput improvements are observed in most cases in Table IV when compared to the results from the first extension in Table III. To evaluate the effectiveness of the second extension, we compare the *pre-processing and post-processing* results for G = 15. Figure 20 depicts the regular network topology with *AP* host associations for G = 15. In Figure 20 (a), only the pre-processing is considered, revealing that a few hosts experience poor associations, resulting in the lower throughput and the network performance degradation, indicated by the red marks. In Figure 20 (b), the second extension is illustrated, demonstrating improved associations Initially, only CB channels with maximum transmission power are assigned by the greedy procedure, since they can maximize the throughput in general. Then, this assignment is improved by optimizing the selection of CB, non-CB, the maximum power, and the minimum power by the following steps [15]:

- 1. Randomly select one AP with the maximum transmission power for the change trial.
- 2. Randomly select a different CB channel from the current one to this selected AP.
- 3. Run the throughput estimation model. If the estimated throughput improves  $E_{ch}$  in Figure 4, this channel change is accepted. To avoid the local optimum, the hill-climbing procedure is applied where if 0-1 random number is smaller than  $\exp(\text{Delta}E_{ch}/\text{Temp})$ , where  $\text{Delta}E_{ch}$  is difference between old and new  $E_{ch}$  and Temp is given algorithm parameter for temperature, this channel change is accepted.
- 4. Go back to Step 1, when the new channel is accepted. Otherwise, go to the next step.
- 5. Change the transmission power to the minimum and run Step 3.
- 6. Go back to Step 1, when the new power is accepted. Otherwise, go to the next step.
- 7. Change the selected channel to *non-CB* and run Step 3.
- 8. Go back to Step 1, when the new non-CB is accepted. Otherwise, go to the next step.
- 9. Change the transmission power to the maximum and run Step 3.
- 10. Go back to Step 1.

Fig. 7: Procedure of joint optimization algorithm.



Fig. 8: Flow chart of preprocessing stage.

TABLE IV: Second extension simulation results for regular topology 1.

G	Method	Min. h.	Ave. Min. h	Ave. h.	Ave. Max. h.	Total
mbps		throu.	throu.	throu.	throu.	throu.
5	Original	0.58	0.72	0.96	1.11	19.25
	With pre. & post.	0.69	0.82	1.07	1.25	21.53
10	Original	3.6	5.49	7.43	9.22	148.71
	With pre. & post.	4.98	5.98	7.58	9.19	151.7
15	Original	1.29	7.72	10.26	12.26	205.36
	With pre. & post.	4.07	8.33	12.54	15.18	250.90
20	Original	7.03	11.49	12.76	14.09	255.20
	With pre. & post.	8.61	15.67	15.81	18.26	316.12

through refining *AP-host associations*, which leads to overall improvements in the network performance.

#### D. Regular Topology 2

Finally, the proposal is assessed by adopting a five room regular network topology.

1) Regular Topology 2: Figure 21 (a) illustrates the regular topology 2 with five rooms, where 30 hosts and 25 APs are regularly allocated in two  $20m \times 50m$  rooms, two  $10m \times 50m$  rooms, and one  $40m \times 50m$  room. Figures 21 (b) suggests the output of the pre-processing stage.

2) Results of First Extension: Figure 22 and Table V show the first extension results and the simulation results

- 1. Estimation of link speed: The link speed (throughput) for each link between each of the given M candidate APs and each of the given H hosts is assessed using the throughput estimation model in [31].
- 2. Generation of Possible AP Combinations: The total of  ${}_{M}C_{N}$  possible combinations of N APs are generated by selecting N APs from the M candidates.
- 3. Initialization of Objective Function: The best-found objective functions  $E^{best}$  and  $E_2^{best}$  are initialized by 0, where  $E_2^{best}$  represents the best-found value of the average host throughput and  $E^{best}$  does the best-found value of the total bottleneck host throughput for N APs.
- 4. Examination of New AP Combination: For each combination of N APs, the following procedure is applied:
  - (a) The candidate AP that has the largest link speed is selected for the associated AP of each host.
  - (b) The best-found objective functions  $E^{best}$  and  $E_2^{best}$  are updated, and the current AP selection is saved in memory, if at least one of the two best found objective functions,  $E^{best}$  or  $E_2^{best}$ , is updated and another one remains the same by the current AP selection and the host associations.
- 5. Termination check: If every combination of N APs is examined, the procedure is terminated, and the selected N APs is used for the input to the active AP configuration algorithm. Otherwise, move to step (4) to analyze another new combination.

G	Method	Min. h.	Ave. Min. h	Ave. h.	Ave. Max. h.	Total
mbps		throu.	throu.	throu.	throu.	throu.
10	Original	0.04	0.23	0.58	0.79	17.54
	Only pre.	0.11	0.38	0.67	0.89	19.99
15	Original	0.51	1.01	2.73	4.08	82.19
	Only pre.	1.41	2.14	3.00	3.74	89.99
20	Original	0.67	1.86	4.47	6.15	134.23
	Only pre.	0.95	3.79	7.03	9.37	210.75
25	Original	1.05	3.59	8.04	12.26	241.45
	Only pre.	1.5	4.68	8.42	10.61	252.49
30	Original	2.41	7.11	10.58	13.85	317.64
	Only pre.	3.57	7.71	10.63	13.83	318.92

TABLE V: First extension simulation results for regular

topology 2.

Fig. 9: Procedure of exhaustive search.

TABLE VI: Second extension simulation results for regular topology 2.

G	Method	Min. h.	Ave. Min. h	Ave. h.	Ave. Max. h.	Total
mbps		throu.	throu.	throu.	throu.	throu.
10	Original	0.04	0.23	0.58	0.79	17.54
	With pre. & post.	0.14	0.3	0.68	0.89	20.29
15	Original	0.51	1.01	2.73	4.08	82.19
	With pre. & post.	1.41	2.14	3.00	3.74	89.99
20	Original	0.67	1.86	4.47	6.15	134.23
	With pre. & post.	3.16	4.76	7.29	9.56	218.69
25	Original	1.05	3.59	8.04	12.26	241.45
	With pre. & post.	2.54	6.32	9.11	10.81	273.31
30	Original	2.41	7.11	10.58	13.85	317.64
	With pre. & post.	4.53	7.79	10.93	14.18	327.93

respectively. Figure 22 shows the number of active APs, CB or non-CB POC for each AP, and the maximum or minimum transmission power for each AP for different value of G. Table V shows the minimum host throughput, the average minimum host throughput, the average host throughput, the average maximum host throughput, and the total throughput respectively. By considering the *pre-processing stage* in the first extension, the throughput performance is improved compared to the original method.

3) Results of Second Extension: Figure 23 and Table VI show the second extension results and the simulation results respectively. By considering both the *pre-processing* and *post-processing stages* in the second extension, the throughput performance is improved for all cases compared to the original method.

After implementing the second extension, significant throughput improvements are observed in most cases in Table VI when compared to the results from the first extension in Table V. To evaluate the effectiveness of the second extension, we compare the *pre-processing and post-processing* results for G = 25. Figure 24 depicts the regular network topology 2 with *AP* host associations for G = 25. In Figure

24 (a), only the pre-processing is considered, revealing that a few hosts experience poor associations, resulting in the lower throughput and the network performance degradation, indicated by the red marks. In Figure 24 (b), the second extension is illustrated, demonstrating improved associations through refining *AP-host associations*, which leads to overall improvements in the network performance.

# E. First Extension Performance Analysis with Random AP Selection

In the first extension, we considered the *pre-processing stage*, where we mainly select the *promising candidate* APs depending on the network conditions. The first extension simulation results can be found in Tables I, III and V. These show that the results for random and regular topologies on the pre-processing stage of the AP joint optimization algorithm improve throughput performance compared to the original method. Furthermore, the pre-processing stage also reduced the *CPU* time by reducing the search space due to the selection of limited promising *APs*, which was already confirmed in [14].

If the promising APs are manually selected, gathering the

- Estimation of link speed: The throughput of the link between each of M candidate APs and each of H
  hosts is calculated using the throughput estimation model [31].
- 2. Initialization of associated AP for host: The initial associated candidate AP is found for each host, and the non-associated candidate APs are excluded with four steps as below:
  - (a) Select the candidate AP for each host such that the throughput is maximized.
  - (b) Calculate the expected number of associated hosts per AP, n as follows:

$$n = AP_{max}/S$$
 (1)

Here,  $AP_{max}$  is the maximum throughput for each AP and S does the minimum required throughput for the association.

- (c) For each candidate AP, sort all the hosts in descending order of the throughput with it. Then, for each candidate AP, select n hosts from the top of the sorted list, and calculate the total throughput of the links with the n hosts.
- (d) Sort all the candidate APs in descending order of the total throughput in iii). From the top of the sorted AP list, select the candidate APs, until each host in the network is included in the set of hosts selected in iii) for the candidate APs selected in iv). The remaining candidate APs are excluded.
- 3. Selection of removed candidate AP: Find one candidate AP to be removed such that at least one of the two best found objective functions,  $E^{best}$  or  $E_2^{best}$ , can be updated, and another one remains the same after removing that AP for the current AP number.
  - (a) For each remaining candidate AP, discover the other remaining candidate AP to associate each associated host with this AP such that the throughput is maximized, and identify the minimum throughput (bottleneck host throughput) among the links to this AP after the host re-associations.
  - (b) Calculate the objective function  $E^{best}$  or  $E_2^{best}$  for the new associations.
  - (c) Remove the candidate AP if at least one of the two best found objective functions,  $E^{best}$  or  $E_2^{best}$  are becomes maximum and another one remains the same after applying i) and ii) to every remaining candidate AP, and apply the re-association for each associated host with this AP.
- 4. Selection of N candidate APs: Repeat Step 3 until the number of remaining candidate APs becomes N.

Fig. 10: Procedure of heuristic search.



Fig. 11: Overall execution flow of the proposal.

necessary information takes a lot of effort and can be timeconsuming. On the other hand, if they are randomly selected, it may carry the risk of poor selection. To evaluate the effectiveness of the pre-processing stage in the first extension, we compare the results with the results for randomly selecting candidate *APs*. Figure 25 illustrates the overall execution flow for the joint optimization algorithm with the *random AP selection method*.

Figure 26 shows the network topology where candidate APs are selected randomly. Figure 26 (a) illustrates the

PC Model	Lenovo ThinkPad-L560		
Processor	Inter(R), Core(TM) i3-6006U (2.00 GHz x 4)		
Memory (RAM)	4 GB		
OS	Ubuntu LTS 14.04, 64 bit		
Simulator	WIMNET simulator [16]		
Interface	IEEE 802.11n		



Fig. 12: Simulation platform.

Fig. 13: Random topology.

G	No. of	Channel (Power)			
Mbps	active APs	AP1, AP2, AP3, AP4, AP5			
	(Orig./Prepro.)	Original	Only preprocessing		
10	2/2	1+5(max), 9+13(max)	9+13(max), 1+5 (max)		
20	3/3	7(max), 13(max), 1(max)	1(max), 1+5(max), 9+13(max)		
30	4/4	7(max), 13(max), 1(max), 1(max)	9+13(min), 1(min), 1+5(min), 13(min)		
35	5/5	1+5(max), 13(min), 9+13(max), 9+13(max), 9+13(max)	13(min), 1+5(max), 1(min), 1+5(max), 9+13(min)		

Fig. 14: Comparison of network configuration with first extension for random topology.

G	No. of	Channel (Power)			
mbps	active APs	AP1, AP2, AP3, AP4, AP5			
	(Orig./Pre. & post. )	Original	With preprocessing & postprocessing		
10	2/2	1+5 (max), 9+13(max)	1+5(max), 9+13 (max)		
20	3/3	7(max), 13(max), 1(max)	1(max), 1+5(max), 9+13(max)		
30	4/4	7(max), 13(max), 1(max), 1(max)	9+13(min), 1(min), 1+5(min), 13(min)		
35	5/5	1+5(max), 13(min), 9+13(max), 9+13(max), 9+13(max)	13(min), 1+5(max), 1(min), 1+5(max), 9+13(min)		

Fig. 15: Comparison of network configuration with second extension for random topology.

random topology with 5 randomly selected *APs*, Figure 26 (b) illustrates the regular topology 1 with 7 randomly selected *APs*, and Figure 26 (c) illustrates the regular topology 2 with 10 randomly selected *APs*.

Figure 27 shows the throughput comparison results between the random AP selection and the pre-processing methods for the random topology. Figures 27 (a) and 27 (b) describe the minimum host throughput, the average minimum host throughput, the average host throughput, and the total throughput, respectively. The simulation results demonstrate that the random AP selection method provides lower throughput performance compared to the pre-processing method for all the values of G. For G = 35, although the *random* AP*selection method* yields the slightly higher average minimum host throughput, the minimum host throughput and the total throughput are lower than those of the *pre-processing method*.

Figure 28 shows the throughput comparison results between the *random AP selection* and the *pre-processing methods* for the regular topology 1. Figures 28 (a) and 28 (b) describe the minimum host throughput, the average minimum host throughput, the average host throughput, and the total throughput respectively. The simulations demonstrate that the random *AP* selection method yields the lower throughput performance than the pre-processing method for all the *G* values.

Figure 29 shows the throughput comparison results between the *random AP selection* and the *pre-processing methods* for the regular topology 2. Figures 29 (a) and 29 (b) describe the minimum host throughput, the average minimum



(a) First extension association

(b) Second extension association

Fig. 16: AP host associations for G=20 in random topology.



Fig. 17: Regular topology 1.

G	No. of	Channel (Power)		
Mbps	active APs	AP1, AP2, AP3	3, AP4, AP5, AP6, AP7	
	(Orig./Prepro.)	Original	Only preprocessing	
5	2/2	9+13(max), 1+5(max)	1+5(max), 9+13(max)	
10	4/4	9+13(min), 1(max), 13(max), 7(max)	1+5(min), 13(max), 7(max), 1(max)	
15	6/6	5+9(min), 13(min), 13(max), 13(max),	9+13(min), 1(max), 1(max), 9+13(min),	
		1(max), 7(max)	13 (max), 1+5 (min)	
20	7/7	1(max), 13(min), 7(min), 13(min),	1+5(min), 9+13 (max), 9+13(min), 9+13(min),	
		13(min), 9+13(min), 1+5(min)	1+5(min), 13(min), 9+13(max)	

Fig. 18: Comparison of network configuration with first extension for regular topology 1.

host throughput, the average host throughput, and the total throughput respectively. The simulations demonstrate that the random AP selection method yields the lower throughput performance than the pre-processing method across all the G values. For G = 30, although the random AP selection method results in the slightly higher total throughput, the minimum host throughput and the average minimum host throughput are lower than those of the pre-processing method. The average host throughput is same for both methods.

From the above simulation results, we can see that for all the random and regular topologies, the *random AP selection method* yields the lower throughput performance compared to the *pre-processing method*. These results validate the effectiveness of our first extension.

# F. Greedy Approach Simulations Results

Tables VII, VIII, and IX show the simulation results for the greedy approach applied to random topology, regular topology 1, and regular topology 2, respectively. In the greedy approach, we assign the *CB channel* with maximum power to all active *APs* instead of joint optimization. However, the results indicate that this approach leads to worse throughput performance compared to the original method, as well as the first and second extensions.

			puter science
G	No. of	Channel	(Power)
Mbps	active APs	AP1, AP2, AP3, A	P4, AP5, AP6, AP7
	(Orig./Pre. & post.)	Original	With preprocessing & postprocessing
5	2/2	9+13(max), 1+5(max)	1+5(max), 9+13(max)
10	4/4	9+13(min), 1(max), 13(max), 7(max)	1+5(min), 13(max), 7(max), 1(max)
15	6/6	5+9(min), 13(min), 13(max), 13(max),	9+13(min), 1(max), 1(max), 13(min),
		1(max), 7(max)	9+13(min), 1+5 (min)
20	7/7	1(max), 13(min), 7(min), 13(min),	9+13(min), 1+5(min), 1(min), 13(min),
		13(min), 9+13(min), 1+5(min)	1(max), 7(min), 1(max)

Fig. 19: Comparison of network configuration with second extension for regular topology 1.



(a) First extension association



(b) Second extension association

Fig. 20: AP host associations for G=15 in regular topology 1.



Fig. 21: Regular topology 2.

G	No. of	Channel (Power)			
Mbps	active APs	AP1, AP2, AP3, AP	P4, AP5, AP6, AP7, AP8		
	(Orig./Prepro.)	Original	Only preprocessing		
10	3/3	1(min), 9+13 (max), 1+5(max)	9+13(max), 1+5(max), 1(max)		
15	4/4	1+5(max), 9+13(min), 13(min), 1(max)	1(max), 1+5(max), 13(max), 9+13(max)		
20	5/6	7(min), 1+5(min), 9+13(min), 13(max),	1+5(max), 5+9(min), 1(min), 13(max),		
		1(max)	9+13 (min), 1+5 (min)		
25	7/7	13(max), 1(min), 5+9(min), 9+13(min),	9+13 (min), 13 (max), 1(max), 1+5(min),		
		1+5(min), 13(min), 9+13(min)	1(max), 9+13(min), 5+9(min)		
30	8/8	13(max), 13 (max), 1(max), 9+13(min),	9+13 (min), 1 (max), 1 (min), 9+13(min),		
		1+5(min), 13 (max), 7(min), 1+5(min)	9+13 (min), 1+5 (min), 1+5 (min), 13 (min)		

Fig. 22: Comparison of network configuration with first extension for regular topology 2.

G	No. of	Channe	Channel (Power)				
Mbps	active APs	AP1, AP2, AP3, AP4, AP5, AP6, AP7, AP8					
	(Orig./Pre. & post.)	Original	With preprocessing & postprocessing				
10	3/3	1(min), 9+13 (max), 1+5(max)	1+5(max), 9+13(max), 13(max)				
15	4/4	1+5(max), 9+13(min), 13(min), 1(max)	1(max), 1+5(max), 13(max), 9+13(max)				
20	5/6	7(min), 1+5(min), 9+13(min), 13(max),	1+5(max), 5+9(min), 1(min), 13(max),				
		1(max)	9+13(min), 1+5(min)				
25	7/7	13(max), 1(min), 5+9(min), 9+13(min),	5+9(max), 13(max), 1(max), 7(max),				
		1+5(min), 13(min), 9+13(min)	7 (min) , 1+5 (min), 9+13 (min)				
30	8/8	13(max), 13 (max), 1(max), 9+13(min),	9+13(min), 1(max), 1(min), 9+13(min),				
		1+5(min), 13 (max), 7(min), 1+5(min)	9+13(min), 1+5(min), 1+5(min), 13(min)				

Fig. 23: Comparison of network configuration with second extension for regular topology 2.

TABLE VII: Greedy approach simulation results for random topology.

G	Min. h.	Ave. Min. h	Ave. h.	Ave. Max. h.	Total
mbps	throu.	throu.	throu.	throu.	throu.
10	2.27	2.59	3.39	4.09	50.87
20	2.85	3.33	4.21	4.93	63.23
30	3.11	4.97	5.7	6.28	85.52
35	5.28	6.22	7	7.75	105.01

TABLE VIII: Greedy approach simulation results for regular topology 1.

G	Min. h.	Ave. Min. h	Ave. h.	Ave. Max. h.	Total
mbps	throu.	throu.	throu.	throu.	throu.
5	0.69	0.82	1.07	1.25	21.52
10	3.24	4.16	4.69	5.17	93.9
15	3.96	5.02	6.01	6.81	120.17
20	5.88	7.67	7.69	8.16	153.98

TABLE IX: Greedy approach simulation results for regular topology 2.

G	Min. h.	Ave. Min. h	Ave. h.	Ave. Max. h.	Total
mbps	throu.	throu.	throu.	throu.	throu.
10	0.11	0.22	0.44	0.55	13.13
15	0.59	0.92	1.71	2.17	51.23
20	0.69	1.54	2.46	2.91	73.83
25	1.27	3.07	4.69	5.63	140.84
30	3.36	4.8	6.09	7.39	182.8

#### G. Discussions of Simulations Results

Tables X, XI, and XII show the throughput performance for random topology, regular topology 1, and regular topology 2, respectively. The results demonstrate that the second extension results are better than the original, greedy, and first extension, which proves the validity of the proposal. The results of the first extension improvement show that the pre-processing stage enhances the solution quality by optimizing the promising active *APs* compared to the original and greedy method. Additionally, the results of the second extension demonstrate that the post-processing stage has an impact on the host association and improves the throughput performance, which is better than the original, greedy method and the first extension. These results highlight the superior throughput performance of our proposal.

# VII. CONCLUSION

This paper presented the two extensions of the AP joint optimization algorithm. In the first extension, we considered the *pre-processing stage* of the active AP configuration algorithm that identify promising candidate APs from a broad number of APs. In the second extension, we presented the *post-processing* stage that refines the AP-host associations and considered the use of both stages in the AP joint optimization algorithm. We demonstrated our proposal validity through simulations utilizing the *WIMNET* simulator. The results confirmed that the proposal improved the throughput performance by reducing the interference level compared to the original methods. In future work, we will evaluate our proposal in a variety of network fields and compare it with other metrics and schemes in different fields to assess its effectiveness.

#### APPENDIX A

# THROUGHPUT DROP ESTIMATION MODEL

The *channel*, *physical*, and the *link distance* are described in Figure 30.

Figures 31 describes the model under non-interference.



(a) First extension association



(b) Second extension association

Fig. 24: AP host associations for G=25 in regular topology 2.

TABLE X: Throughput performance of random topology.

Results	Method	Min. host	Ave. min. host	Ave. host	Max. host	Ave. max. host	Total
	Original	13.8	23.72	30.56	54.88	36.05	450.46
	Greedy	13.51	17.11	20.3	29.71	23.05	304.63
Throu. (Mbps.)	Only pre.	18.3	27.31	33.48	63.3	39.14	502.11
	With pre. & post.	18.37	28.3	34.91	63.19	39.16	509.48
Improvement with	Only pre.	32.61	15.13	9.55	15.34	8.578	11.46
original (%)	With pre. & post.	33.11	19.31	14.23	15.14	8.62	13.10
Improvement with	Only pre.	35.45	59.61	64.92	113.03	69.81	64.82
greedy (%)	With pre. & post.	35.97	65.40	71.97	112.66	69.89	67.24

Figures 32 describes the model for interfered link under CB.

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non-CB.

Figures 34 describes the model under coexistence of CB and non-CB links.



Fig. 25: Flow of joint optimization algorithm with random AP selection method.



(a) Random topology with 5 random APs



(b) Regular topology 1 with 7 random APs



(c) Regular topology 2 with 10 random APs

Fig. 26: Topologies for random AP selection method.

TABLE XI: Throughput performance of regular topology 1.

Results	Method	Min. host	Ave. min. host	Ave. host	Max. host	Ave. max. host	Total
	Original	12.50	25.42	31.41	55.05	36.68	628.52
	Greedy	13.77	17.67	19.46	28.81	21.39	389.57
Throu. (Mbps.)	Only pre.	16.98	32.32	36.24	80.41	42.68	725.17
	With pre. & post.	18.35	30.80	37.00	81.78	43.88	740.25
Improvement with	Only pre.	35.84	27.14	15.37	46.06	16.35	15.37
original (%)	With pre. & post.	46.8	21.16	17.79	48.55	19.62	17.77
Improvement with	Only pre.	23.31	82.91	86.22	179.11	99.53	86.14
greedy (%)	With pre. & post.	33.26	74.31	90.13	183.85	105.14	90.01

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Fig. 27: Throughput comparison between random AP selection and pre-processing methods for random topology.



(a) Min. host, ave. min. host, and ave. host throughput



Fig. 28: Throughput comparison between random AP selection and pre-processing methods for regular topology 1.

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(a) Min. host, ave. min. host, and ave. host throughput



(b) Total throughput

Fig. 29: Throughput comparison between random AP selection and pre-processing methods for regular topology 2.

Results	Method	Min. host	Ave. min. host	Ave. host	Max. host	Ave. max. host	Total
	Original	4.68	13.8	26.4	57.71	37.13	793.05
	Greedy	6.02	10.55	15.39	25.24	18.65	461.83
Throu. (Mbps.)	Only pre.	7.54	18.7	29.75	57.81	38.44	892.14
	With pre. & post.	11.78	21.31	31.01	63.77	39.18	930.21
Improvement with	Only pre.	61.11	35.51	12.68	0.17	3.52	12.49
original (%)	With pre. & post.	151.71	54.42	17.46	10.51	5.52	17.29
Improvement with	Only pre.	25.24	77.25	93.31	129.02	106.11	93.17
greedy (%)	With pre. & post.	95.68	101.99	101.49	152.63	110.08	101.42

TABLE XII: Throughput performance of regular topology 2.

# Definitions of Three Distances in Throughput Estimation Model

The channel distance, the physical distance, and the link distance are described here.

- 1. Channel distance (chD) describes the minimum channel difference between the channels of the two links. For example, when both links are assigned the same channel, chD is 0, where they will be fully overlapped. On the other hand, when one link is assigned *channel 1* and another link *channel 3*, chD is 2.
- 2. Physical distance (phD) describes the Euclidean distance between the two APs of the links. By expanding the phD between the links, the interfered signal declines due to the path loss and the absorption by obstacles.
- 3. Link distance (lkD) describes the Euclidean distance between the transmitter and receiver of the link. Since the signal is propagated from the transmitter to the receiver, the longer lkD reduces the RSS at the receiver and can degrade the throughput.

Fig. 30: Definition of three distances.

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# **Throughput Estimation Model under Non-Interference**

In [31], exhibited the *throughput estimation model* for a single link under no interference. This model estimates the *receiving signal strength* (RSS) at the host applying the log distance path loss model [34]:

The Euclidean distance d(m) is estimated for each link (AP/host pair) by:

$$d = \sqrt{(AP_x - H_x)^2 + (AP_y - H_y)^2}$$
(1)

where  $AP_x$ ,  $AP_y$  and  $H_x$ ,  $H_y$  does the x and y coordinates for the AP and the host respectively.

Then, d(m) is used to calculate  $RSS_d$  in Eq. (2) by:

$$RSS_d = P_1 - 10\alpha \log_{10} d - \sum_k n_k W_k$$
(2)

where  $RSS_d$  describes RSS(-dBm) at the host,  $P_1$  does RSS at 1m distance from the AP when no obstacle exists,  $\alpha$  does the path loss exponent, d(m) does the Euclidean distance between the AP and the host,  $n_k$  does the number of  $type_k$  obstacles along the path from the AP to the host, and  $W_k$  does the signal attenuation factor (dBm) for  $type_k$  obstacle. The parameters  $P_1$ ,  $\alpha$ , and  $W_k$  are obtained by running our parameter optimization tool [35] with the measurement data.

Next, this model converts  $RSS_d$  to the estimated throughput  $tp_{ij}$  utilizing the sigmoid function:

$$tp_{ij} = \frac{a}{1 + e^{-(\frac{(120 + RSS_d) - b}{c})}}$$
(3)

where  $tp_{ij}$  describes the estimated throughput (*Mbps*) and *a*, *b*, and *c* are constant coefficients whose values were obtained by executing our parameter optimization tool [35] with the measurement data.

#### Fig. 31: Model for non-interference.

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# Throughput Drop Model for Interfered Link under CB

In [36, 37], exhibited the throughput drop estimation model under interfered CB links. For two interfered links, this model adopts the logarithm function of the RSS,  $RSS^i$  and the chD from the interfered link in Eq. (4).

$$tpD(RSS^{i}, chD) = p(chD) \times \ln(q(chD) + RSS^{i}) + r(chD)$$
(4)

where  $tpD(RSS^i, chD)$  describes the estimated throughput drop (*Mbps*), and p(chD), q(chD), and r(chD) describe the constants determined by the channel distance (chD). The *physical distance* (phD) between the two APs is closely related with the RSS  $(RSS^i)$  of the interfered signal at the AP. When phD increases, the corresponding  $RSS^i$  decreases, as shown in Eq. (2) where  $RSS_d$  represents  $RSS^i$  and d does phD. The values of the three constant parameters in Eq. (4), p, q, and r, in [36] are computed from the throughput drop measurement results for each chD by running *Origin Pro8* software [38].

The interfered link causing the highest drop is considered first. Then, the interfered link causing the second highest drop is considered, which further reduces the throughput by increasing the contention. The following procedure is executed:

- 1. Calculate the throughput of the target link using the model under non-interference.
- 2. Calculate the throughput drop tpD from each interfered link using Eq. (4).
- 3. Sort the links in descending order of the drop magnitude. Here, the two interfered links are considered to the target link, where the drops are given by  $tpD^{1st}$  and  $tpD^{2nd}$ .
- 4. For the largest interfered link, adjust  $tpD^{1st}$  by the maximum speed of the AP of the target link, because the different APs may have the different throughput performances. The largest interfered link is defined as the interfered link that causes the largest throughput drop (tpD) at the target link.

$$tpD_{adj}^{1st} = tpD^{1st} \times \frac{tpM^{AP}}{140} \tag{5}$$

where  $tpD_{adj}^{1st}$  describes the adjusted throughput drop by the largest interfered link,  $tpM^{AP}$  does the maximum throughput for the AP of the target link, and 140 does the maximum throughput (Mbps) under channel bonding (CB) for NEC AP adopted in the model.

Then, the throughput  $tp_{ij}^{1st}$  of the target link is calculated after considering the drop by the first interfered link by:

$$tp_{ij}^{1st} = tp_{ij} - tpD_{adj}^{1st}.$$
 (6)

5. For the second interfered link, adjust the  $tpD^{2nd}$  by:

$$tpD_{adj}^{2nd} = tpD^{2nd} \times \frac{tpM^{AP} - tpD_{adj}^{1st}}{140}.$$
 (7)

The throughput  $tp_{ii}^{2nd}$  of target link is calculated after considering the drop by the second interfered link by:

$$tp_{ij}^{2nd} = tp_{ij}^{1st} - tpD_{adj}^{2nd}.$$
(8)

6. If more interfered links exist, repeat the same procedure.

The throughput drop's actual value depends on the device's throughput range at the target link. Eq. (4) was introduced to estimate the throughput drop, where the maximum link-speed of the target link is 140 Mbps for the NEC WG2600HP AP device with CB used in the experiments. For other devices whose maximum speed is different from 140 Mbps, this value needs to be adjusted linearly by its maximum speed, as confirmed by experiments.

#### Fig. 32: Model for CB.

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# Throughput Drop Model for Interfered Link under non-CB

In [39], exhibited the throughput drop estimation model under interfered non-CB links. According to the measurement results, the natural logarithm function in Eq. (4) is again used to estimate the throughput drop (tpD) for non-CB links. The parameter values are tuned from measurement results of each chD [15].

For three or more interfered links, the interfered links are explored sequentially in descending order of their throughput drops as for CB links. First, the throughput drop in Eq. (5) and Eq. (7) is adjusted to consider the difference of the maximum throughput of the APs for *non-CB* (75*Mbps*) and *CB* (140*Mbps*) as follows:

$$tpD_{adj}^{1st} = tpD^{1st} \times \frac{tpM^{AP}}{75} \tag{9}$$

$$tpD_{adj}^{2nd} = tpD^{2nd} \times \frac{tpM^{AP} - tpD_{adj}^{1st}}{75}$$
 (10)

Then, the dropped throughput under the interferences for the target link is obtained by sequentially subtracting the  $tpD_{adj}^{1st}$  and  $tpD_{adj}^{2nd}$  from  $tp_{ij}$ , as in Eq. (6) and Eq. (8).

Fig. 33: Model for non-CB.

# Throughput Drop Model under Coexistence of CB and non-CB Links

In [15], exhibited throughput drop measurements under coexistence of CB and non-CB links.

According to the throughput drop measurement results, in [15] calculated the throughput drop (tpD) from the interfered received signal strength  $(RSS^i)$  and the channel distance (chD), as in Eq. (4). The parameter values are tuned from measurement results under coexistence of CB and non-CB as in [15] by running Origin Pro8 software. Again for the multiple interfered links, the interfered links are explored sequentially in descending order of their

throughput drops. Here only two interfered links are described.

- 1. When both of the interfering APs adopt CB, calculate the throughput drop under CB in [37].
- 2. When both of the interfering APs adopt non-CB, calculate the throughput drop under non-CB in [39].
- 3. When one AP adopts CB and another does non-CB, the following procedure is applied:
  - (a) Calculate the single link throughput for each host by Eq. (2) and Eq. (3).
  - (b) Calculate the sum of the throughput drops for the two APs by Eq. (4) utilizing the parameters in [15].
  - (c) Sort the links in descending order of the throughput drops that are given by  $tpD^{1st}$  and  $tpD^{2nd}$ .
  - (d) For the largest interfered link, adjust  $tpD^{1st}$  with the maximum speed of the target AP by Eq. (11) and Eq. (12).

$$tpD_{adj}^{1st} = tpD^{1st} \times \beta \times \frac{tpM^{AP}}{140}.$$
(11)

$$tpD_{adj}^{1st} = tpD^{1st} \times \beta \times \frac{tpM^{AP}}{75}.$$
(12)

It is assumed that one AP represents the target AP and the other does interfering AP. Eq. (11) is applied if the target AP uses CB, and Eq. (12) is applied otherwise.  $\beta$  represents the throughput drop normalization factor of 0.635 for CB and 0.365 for non-CB.

(e) For the second interfered link, adjust the  $tpD^{2nd}$  by Eq. (13) and Eq. (14) for the target AP with CB and the AP with *non-CB* respectively.

$$tpD_{adj}^{2nd} = tpD^{2nd} \times \beta \times \frac{tpM^{AP} - tpD_{adj}^{1st}}{140}.$$
(13)

$$tpD_{adj}^{2nd} = tpD^{2nd} \times \beta \times \frac{tpM^{AP} - tpD_{adj}^{1st}}{75}.$$
(14)

Then, the dropped throughput under the interferences for the target link is obtained by sequentially subtracting  $tpD_{adj}^{1st}$  and  $tpD_{adj}^{2nd}$  from  $tp_{ij}$ , as in Eq. (6) and Eq. (8).

(f) If more interfered links exist, repeat the same procedure.

Fig. 34: Model for both CB and non-CB.

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